



**STATE OF CALIFORNIA
BUSINESS, TRANSPORTATION AND HOUSING AGENCY
DEPARTMENT OF CORPORATIONS**

www.corp.ca.gov

Arnold Schwarzenegger
Governor

Wayne Strumpfer
Acting California Corporations Commissioner

DATE: August 5, 2005

RELEASE No. 16-G (Revised)

REGULATORY OMBUDSPERSON

This release supersedes previous versions of Release 16-G, and provides an update of the Regulatory Ombudsperson of the Department of Corporations ("Department").

The Department's Deputy Commissioner and General Counsel of the Office of Law and Legislation serves as the Regulatory Ombudsperson, to help resolve complaints concerning the manner in which administrative regulations are applied to applications filed with the Department.

If an applicant for a license, permit, or registration disagrees with the manner in which an administrative regulation is being applied to the application, the applicant should try to resolve the matter with the reviewing staff before raising the issue to the next level of program review (i.e., reviewing staff's supervisor) and, after the supervisor, to the final level of program review (i.e., Assistant Commissioner of the division responsible for approving the application).

If an applicant is still not satisfied with the resolution provided by all the appropriate levels of program review, the applicant may submit the matter in writing to the Department's Office of Law and Legislation. The written submission should include a full description of the problem, the applicant's view on how the administrative regulation should be applied to the pending application, and the reasons why the regulation should be applied in the suggested manner. Send this written request to:

Department of Corporations
Office of Law and Legislation
1515 K Street, Suite 200
Sacramento, CA 95841
Attention: Regulatory Ombudsperson

In addition, any petitions to adopt, or repeal the Department's administrative regulations pursuant to Government Code Section 11340.6 should be directed to the Office of Law and Legislation, at the address specified above.

WAYNE STRUMPFER
Acting California Corporations Commissioner

By _____
TIMOTHY L. Le BAS
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(916) 322-3553